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PATENT & TRADEMARK

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/059,533	
	Filing Date	April 13, 1998	
	First Named Inventor	Jerrold V. Hauck	
	Group Art Unit	2133	
	Examiner Name	Joseph D. Torres	
Total Number of Pages in This Submission	5	Attorney Docket Number	42390P5379

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input checked="" type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<div>-Return receipt postcard (1)</div>
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Thomas M. Coester, Reg. No. 39,637 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
Signature	<i>Thomas Coester</i>
Date	February 7, 2002

CERTIFICATE OF MAILING (OR TRANSMISSION)			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <b>February 7, 2002</b>			
Typed or printed name	Susan M. Ocegueda		
Signature	<i>Susan M. Ocegueda</i>	Date	02/07/02

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# **FEE TRANSMITTAL** **For FY 2002**

Patent fees are subject to annual revision.  
☐ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$) 310.00

## **Complete if Known**

Application Number	09/059,533
Filing Date	04/13/98
First Named Inventor	Jerrold V. Hauck, et al.
Examiner Name	Joseph D. Torres
Group Art Unit	2133
Attorney Docket Number	42390P5379

## **METHOD OF PAYMENT (check all that apply)**

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account

Deposit Account Number  
Deposit Account Name

02-2666

Blakely, Sokoloff, Taylor & Zafman

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application.  
☐ Charge fee(s) indicated below, except for the filing fee, to the above-identified deposit account

## **FEE CALCULATION**

### **1. FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

**SUBTOTAL (1)** (\$)

### **2. CLAIMS**

Total Claims	Extra	Fee from below	Fee Paid
Independent Claims 11			
4			

Multiple Dependent Claims

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple Dependent claim
109 80	209 40	Reissue independent claims over original patent
110 18	210 9	Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$)

\*or number previously paid, if greater; For Reissues, see above

## **FEE CALCULATION (continued)**

### **3. ADDITIONAL FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
105 130	205 65	Surcharge - late filing fee
127 50	227 25	Surcharge - late provisional filing fee or cover sheet
139 130	139 130	Non-English specification
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination
112 920	112 920	Requesting publication of SIR prior to Examiner action
113 1,840	113 1,840	Requesting publication of SIR after Examiner action
115 110	215 55	Extension for response within first month
116 390	216 195	Extension for response within second month
117 890	217 445	Extension for response within third month
118 1,390	218 695	Extension for response within fourth month
128 1,890	228 945	Extension for response within fifth month
119 310	219 155	Notice of Appeal
120 310	220 155	Filing a brief in support of an appeal
121 270	221 135	Request for oral hearing
138 1,510	138 1,510	Petition to institute a public use proceeding
140 110	240 55	Petition to revive - unavoidably
141 1,240	241 620	Petition to revive - unintentionally
142 1,240	242 620	Utility issue fee (or reissue)
143 440	243 220	Design issue fee
144 600	244 300	Plant issue fee
122 130	122 130	Petitions to the Commissioner
123 50	123 50	Petitions related to provisional applications
126 180	126 180	Submission of Information Disclosure Stmt
581 40	581 40	Recording each patent assignment per property (times number of properties)
146 710	246 355	Filing a submission after final rejection (37 CFR 1.129(a))
149 710	249 355	For each additional invention to be examined (37 CFR 1.129(b))
179 710	279 355	Request for Continued Examination (RCE)
169 900	169 900	Request for expedited examination of a design application

Other fee (specify)

**SUBTOTAL (3)** (\$) 310.00

## **SUBMITTED BY**

Typed or Printed Name	Thomas M. Coester, Reg. No. 39,637	Complete (if applicable)	
Signature	<i>Thomas Coester</i>	Reg. Number	
Date	2/7/2002	Deposit Account User ID	02-2666

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